Commonly used Public Utility Codes (PUC) **APPENDIX C**

Local Transportation Fund

LTF: Claimants can file claims under:

Article 4:
PUC § 99260-Claims for public transportation: grade separation
Operators may file claims under this article for the following purposes.

(a) The support of public transportation systems.
(b) Aid to public transportation research and demonstration projects.
(c) Contributions for the construction of grade separation projects

PUC § 99262 – Public Transportation System Claims
Claims for public transportation systems may include claims for money for all purposes necessary and convenient to the development and operation of the system, including planning and contributions to the transportation planning process, acquisition of real property, construction of facilities and buildings, purchase and replacement of vehicles (including those usable by disabled persons), and system 57 58 operation, maintenance, and repair, payment for any of which purposes may take the form of direct expenditures or payment of principal and interest on equipment trust certificates, bonded or other indebtedness, or any amounts in accomplishment of a defeasance of any outstanding revenue bond indenture

Article 3:

PUC § 99234 – Claims for Pedestrian and Bicycle Facilities

(Amended by Stats. 2015, Ch. 716, Sec. 2. (SB 508) Effective January 1, 2016.)

Claims for facilities provided for the exclusive use of pedestrians and bicycles or for bicycle and pedestrian safety education programs shall be filed according to the rules and regulations adopted by the transportation planning agency.

Article 4.5- Claims for Community Transit services:
PUC § 99275 – Community Transit Services Definition (Added by Stats. 1976, Ch. 1348.)

(a) Claims may be filed with the transportation planning agency by claimants for community transit services, including such services for those, such as the disabled, who cannot use conventional transit services.
(b) For purposes of this article, "community transit services" means transportation services which link intracommunity origins and destinations.

PUC § 99275.5 – Claim Evaluation Criteria; Required Findings (Amended by Stats. 1990, Ch. 1036, Sec. 3.)

(a) Claims, for purposes of this article, shall be filed in the same manner as claims are filed for purposes of Article 4 (commencing with Section 99260).
(b) The transportation planning agencies shall adopt criteria, rules, and regulations for the evaluation of claims filed under this article and the determination of the cost effectiveness of the proposed community transit services to be provided under the claims.

(c) Prior to approving a claim filed under this article, the transportation planning agency shall make all of the following findings:

(1) That the proposed community transit service is responding to a transportation need currently not being met in the community of the claimant.

(2) That the service shall be integrated with existing transit services, if appropriate.

(3) That the claimant has prepared an estimate of revenues, operating costs, and patronage.

(4) That the claimant is in compliance with Section 99268.3, 99268.4, 99268.5 , or 99268.9 , whichever is applicable to it, or with regional, countywide, or county subarea performance criteria, local match requirements, or fare recovery ratios adopted by resolution of the transportation planning agency or the county transportation commission for any or all types of community transit services. (A) In adopting the performance criteria, local match requirements, or fare recovery ratios, the transportation planning agency or the county transportation commission may adopt the criteria of Section 99268.3, 99268.4, 99268.5, or 99268.9 , or any combination or all of them. (B) If a transportation planning agency or county transportation commission has adopted performance criteria, local match requirements, or fare recovery ratios, the rules and regulations of the agency or commission apply, and Sections 99205.7 and 99241, subdivision (a) of Section 99247, and Section 99268.8 do not apply.

(5) That the claimant is in compliance with Sections 99155 and 99155.5.

(d) A transportation planning agency or county transportation commission shall allocate no funds to a claimant not in compliance with Sections 99155 and 99155.5.

Article 8: *Other claims for funds*

PUC § 99400 – Claim Purpose

Claims may be filed under this article by counties, cities, and transit districts in subdivisions (c) to (f) for: the purposes of

(a) Local streets and roads, and projects which are provided for use by pedestrians and bicycles.
(b) Passenger rail service operations and capital improvements.
(c) Payment to any entity which is under contract with a county, city, or transit district for public transportation or for transportation services for any group, as determined by the transportation planning agency, requiring special transportation assistance.
    a. If the county, city, or transit district is being served by an operator, the contract entered into by the county, city, or transit district shall specify the level of service to be provided, the operating plan to implement that service, and how that service is to be coordinated with the public transportation service provided by the operator. Prior to approving any claim filed under this section, the transportation planning agency, or the county transportation commission in a county with such a commission, shall make a finding that the transportation services contracted for under subdivision (c) are responding to a transportation need not otherwise being met within the community or jurisdiction of the claimant and that, where appropriate, the services are coordinated with the existing transportation service.
(d) Payments to counties, cities, and transit districts for their administrative and planning cost with respect to transportation services under subdivision (c).
(e) Notwithstanding any other provision of this chapter, a claimant for funds pursuant to subdivision (c) may also receive payments for capital expenditures to acquire vehicles and related equipment, bus shelters, bus benches, and communication equipment for the

transportation services.

STA Fund claims:

Claimants can submit claims as shown below:

Article 4:
CCR § 6730 – Claims of Operators
Claims can be filed for STA funds for the following purposes.

a. The operating costs of operator's public transportation system.
b. The capital requirements of the operator's transportation system.

CCR § 6731 – Claims of Cities, Counties or Transit Districts
(b) Payment to an entity under contract with the city, county or transit district, for transportation services or payment for the claimant's related administrative and planning cost, pursuant to Public Utilities Code sections 99400(c) and 99400(d).

CCR § 6665 – State Controller Reports
Expenditures of moneys received for streets and roads purposes under Section 99400(a) of the Public Utilities Code shall be reported to the State Controller on or before October 1 or within 90 days after the close of the fiscal year pursuant to Section 99406 of the Public Utilities Code. Expenditures of moneys received under Sections 99233.3, 99233.4, 99233.5, 99400(b), and 99400.5 shall be reported to the State Controller within 90 days after the close of the fiscal year pursuant to Section 12463 of the Government Code.